



**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
Submitted  
with Initial  
Filing

OR

☒ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number	IA 1500.01A US
First Named Inventor	LAMKIN, Allan B.
COMPLETE IF KNOWN	
Application Number	10 /010,078
Filing Date	11/2/01
Art Unit	Unknown
Examiner Name	Unknown

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR TRACKING AND SUPPORTING THE DISTRIBUTION  
OF CONTENT ELECTRONICALLY**

*(Title of the Invention)*

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 11/2/01 as United States Application Number or PCT International

Application Number 10/010,078 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D6 20231.

**DECLARATION -- Utility or Design Patent Application**Direct all correspondence to: ☒Customer Number  
or Bar Code Label

22887

PATENT TRADEMARK OFFICE

OR ☐

Correspondence address below

Name

DISCOVISION ASSOCIATES -- Intellectual Property Development

Address

2355 Main Street, Suite 200

City Irvine

State CA

ZIP 92614

Country U.S.A.

Telephone (949) 660-5000

Fax (949) 660-1801

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any]) Allan B.

Family Name

or Surname LAMKIN

Inventor's  
Signature

Date 3/20/02

Residence: City San Diego

State CA

Country USA

Citizenship US

Mailing Address 4282 Farley Lane

City San Diego

State CA

ZIP 92122

Country USA

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any]) Todd R.

Family Name

or Surname COLLART

Inventor's  
Signature

Date 3/19/02

Residence: City Los Altos

State CA

Country USA

Citizenship US

Mailing Address 206 Arbuelo Way

City Los Altos

State CA

ZIP 94022

Country USA

☐ Additional inventors are being named on the \_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

**ASSIGNMENT OF APPLICATION AND PATENT**

WHEREAS, we, Allan B. Lamkin residing at 4282 Farley Lane, San Diego, California 92122, and Todd R. Collart residing at 206 Arbuelo Way, Los Altos, California 94022, have invented a certain new and useful System, Method And Article Of Manufacture For Tracking And Supporting The Distribution Of Content Electronically, for which we have made application for Letters Patent of the United States as fully set forth and described in the specification filed in the United States Patent and Trademark Office on 11/02/01 and assigned Serial No. 10/010,078;

WHEREAS, InterActual Technologies, Inc., a California corporation, duly organized and existing under and by virtue of the laws of the State of California, and having a place of business at 100 Century Center Court, Suite 200, San Jose, California 95112, is desirous of acquiring the entire interest in and to said invention and the Letters Patent to be had therefore;

NOW THEREFORE, to all whom it may concern, be it known, that for good and valuable consideration, to us in hand paid, the receipt of which is hereby acknowledged, we, said Allan B. Lamkin and Todd R. Collart, have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over unto said InterActual Technologies, the whole right, title, and interest in and to said System, Method And Article Of Manufacture For Tracking And Supporting The Distribution Of Content Electronically and any and all improvements disclosed in the aforesaid application for patent, as fully set forth and described therein, and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent derived from the aforesaid application which have been or shall be filed in the United States and in and to the United States Letters Patent to be issued thereon for the territory of the United States, and all corresponding foreign counterpart applications derived from the aforesaid United States patent application and related applications as prepared for and filed in any and all foreign countries, said whole right, title, and interest in and to said System, Method And Article Of Manufacture For Tracking And Supporting The Distribution Of Content Electronically being the same for said

InterActual Technologies' own use and for the use of its assigns, successors, and legal representatives to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

And we, for ourselves, our heirs, assigns, and legal representatives hereby covenant to and with said assignee InterActual Technologies, its assigns, successors, and legal representatives, that we have full right to sell and assign the whole right, title, and interest in and to said invention and the Letters Patent to be had therefor and that the entire interest herein conveyed is free from all prior assignment, grant, mortgage, license, or other encumbrance whatsoever. And we also, for ourselves, our heirs, assigns, and legal representatives hereby further covenant to and with said assignee Discovision Associates, its assigns, successors, and legal representatives to fully cooperate therewith in the prosecution of the aforesaid application in the United States Patent and Trademark Office and in any and all foreign jurisdictions, said cooperation extending to any U.S. or foreign divisional, continuing, substitute, renewal, reissue or any other application derived from the aforesaid application, and including the execution of additional declarations, assignments, and other formal documents as may be required in connection therewith.

And we do hereby authorize and request the United States Commissioner of Patents to issue Letters Patent to said InterActual Technologies, as the assignee of our entire right and title therein.

In Testimony Whereof, we have hereunto set our hand and seal on the day and month of 20 02 as indicated below.

\*\*\*\*\*

Allan B. Lamkin L.S.  
Allan B. Lamkin

Date: 3/20/02

**Acknowledgment**

State of CALIFORNIA  
County of SAN DIEGO <sup>ss</sup>

On this 20<sup>th</sup> day of MARCH, 20 02, before me, a Notary Public, personally appeared ALLAN B. LAMKIN, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his individual and authorized capacities, and that by his signature on this instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year first above written.



Susan L. Baht  
Notary Public in and for Said State

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\*\*\*\*\*  
Todd R. Collart L.S. Date: 3/19/02

Acknowledgment

State of California  
 County of Santa Clara

On this 19th day of Mar., 2002, before me, a Notary Public, personally appeared Todd R. Collart, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his individual and authorized capacities, and that by his signature on this instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year first above written.



Lynn Ball  
 Notary Public in and for Said State

\*\*\*\*\*

## ASSIGNMENT

**NOW THEREFORE**, to all whom it may concern, that for good and valuable consideration, the receipt of which is hereby acknowledged, and intending to be legally bound hereby as of March 19, 2002, InterActual having its principal place of business at 100 Century Center Court, Suite 200, San Jose, California 95112 ("Assignor"), hereby sells, assigns, transfers and conveys to Research Investment Network, Inc., a Delaware corporation, having its principal place of business at 2355 Main Street, Suite 200, Irvine, California, 92614, U.S.A., ("RIN"), the entire right, title and interest in U.S patent application 10/003,704 and 10/010,078, and any and all related foreign counter-part applications or patents, extensions, divisionals, continuations, abandoned applications, reissues and reexaminations ("Intellectual Property"), including without limitation, all rights to bring causes of action for past, present and future infringements of the Intellectual Property, all rights to collect and receive any damages, royalties or settlements for such infringements, and all rights to bring any and all causes of action relating to any of the inventions or discoveries described in the Intellectual Property, to be held and enjoyed by RIN for its own use and benefit and for its successors and assigns as the same would have been held by Assignor had this assignment not been made.

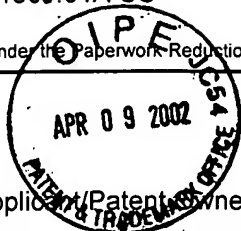
**IN TESTIMONY WHEREOF**, the Assignor has caused this Assignment to be duly executed by an officer, who is empowered with the right and power of the Assignor to execute this Assignment on behalf of the Assignor:

By: \_\_\_\_\_

Name: Todd R. Collart

Title: President & CEO

Date: 3/19/02

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Allan B. Lamkin et al.Application No./Patent No.: 10/010,078 Filed/Issue Date: November 2, 2001Entitled: SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR TRACKING AND SUPPORTING THE DISTRIBUTION OF CONTENT ELECTRONICALLYRESEARCH INVESTMENT NETWORK, INC., a Delaware Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. [xx] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Allan B. Lamkin et al. To: InterActual Technologies, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: InterActual Technologies, Inc. To: RESEARCH INVESTMENT NETWORK, INC.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[xxx] Copies of assignments or other documents in the chain of title are attached.

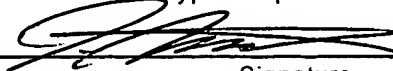
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 28, 2002  
Date

Kenny Masaki

Typed or printed name

  
Signature

President

Title